

# Discrete Mathematics 2

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# Chapter 2: Stirling Numbers and Related Sequences

## 1. Introduction

This chapter focuses on a fascinating family of such numbers: Stirling numbers of the first and second kind, Lah numbers, and Bell numbers. These numbers arise naturally in problems involving permutations, partitions, and lists.

### 1.1 Factorial functions

**Definition 1.1** (Falling and Rising Factorial Functions).

**Falling Factorial:** For a non-negative integer  $n$  and a real or complex number  $x$ , the falling factorial, denoted by  $(x)_n$ , is defined as:

$$(x)_n = x(x-1)(x-2)\cdots(x-n+1)$$

with the convention that  $(x)_0 = 1$ .

**Rising Factorial:** For a non-negative integer  $n$  and a real or complex number  $x$ , the rising factorial (or Pochhammer symbol), denoted by  $(x)^{(n)}$ , is defined as:

$$(x)^{(n)} = x(x+1)(x+2)\cdots(x+n-1)$$

with the convention that  $(x)^{(0)} = 1$ .

#### 1.1.1 Properties of Falling and Rising Factorials

- **Relation to factorials:**

$$(n)_n = n! \quad (\text{by definition, since } n(n-1)\cdots 1 = n!), \quad 1^{(n)} = n! \quad (\text{rising factorial } 1(2)(3)\cdots n = n!).$$

- **Symmetry:**

$$(x)_n = (-1)^n(-x)^{(n)}, \quad x^{(n)} = (-1)^n(-x)_n.$$

- **Connection to binomial coefficients:** For  $x \in \mathbb{C}$ ,

$$\binom{x}{k} = \frac{(x)_k}{k!}, \quad \binom{-x}{k} = (-1)^k \frac{x^{(k)}}{k!}.$$

- **Recurrence relations:**

$$(x)_{n+1} = (x-n)(x)_n, \quad x^{(n+1)} = (x+n)x^{(n)}.$$

## 1.2 Permutations and cycles

A **permutation**  $\pi$  on  $n$  elements is a bijective function:

$$\pi : [n] \rightarrow [n], \quad \text{where } [n] = \{1, 2, \dots, n\}.$$

Permutations can be represented in two standard forms:

### One-Line Notation

The permutation  $\pi$  is written as a sequence of its outputs:

$$\pi = \pi(1) \pi(2) \pi(3) \cdots \pi(n).$$

- The  $i$ -th position represents  $\pi(i)$ .
- Example: For  $n = 4$ ,  $\pi = 3 \ 1 \ 4 \ 2$  means:

$$\pi(1) = 3, \pi(2) = 1, \pi(3) = 4, \pi(4) = 2.$$

## Two-Line Notation

The permutation  $\pi$  is displayed as a matrix with two rows:

$$\pi = \begin{pmatrix} 1 & 2 & 3 & \cdots & n \\ \pi(1) & \pi(2) & \pi(3) & \cdots & \pi(n) \end{pmatrix}.$$

- Top row: Domain elements.
- Bottom row: Corresponding images under  $\pi$ .
- Example for  $\pi = 3\ 1\ 4\ 2$ :

$$\pi = \begin{pmatrix} 1 & 2 & 3 & 4 \\ 3 & 1 & 4 & 2 \end{pmatrix}.$$

### 1.2.1 Cycle Decomposition

#### Cycle Structure

A permutation decomposes into disjoint **cycles**, which are closed chains of mappings  $i \rightarrow \pi(i)$ . For example, consider the permutation  $\pi$  in one-line notation:

$$\pi = 6\ 5\ 2\ 7\ 1\ 3\ 4\ 8.$$

In two-line notation, this becomes:

$$\pi = \begin{pmatrix} 1 & 2 & 3 & 4 & 5 & 6 & 7 & 8 \\ 6 & 5 & 2 & 7 & 1 & 3 & 4 & 8 \end{pmatrix}.$$

Tracing the mappings  $i \rightarrow \pi(i)$ , we observe the following cycles:

$$1 \rightarrow 6 \rightarrow 3 \rightarrow 2 \rightarrow 5 \rightarrow 1, \quad 4 \rightarrow 7 \rightarrow 4, \quad 8 \rightarrow 8.$$

- Each cycle is a closed loop of distinct elements.
- Fixed points (e.g., 8) form 1-cycles.

#### Cycle Notation

The permutation is written as a product of cycles:

$$\pi = (1\ 6\ 3\ 2\ 5)(4\ 7)(8).$$

**Equivalence:** Cycles can be reordered or rotated:

$$\pi = (8)(7\ 4)(3\ 2\ 5\ 1\ 6) = (6\ 3\ 2\ 5\ 1)(4\ 7).$$

## 2. Stirling Numbers

### 2.1 Stirling Numbers of the First Kind

**Definition 2.1** (Stirling numbers of the first kind).

For non-negative integers  $n$  and  $k$  with  $0 \leq k \leq n$ , the **Stirling number of the first kind**, denoted  $\left[ \begin{smallmatrix} n \\ k \end{smallmatrix} \right]$ , counts:

- The number of ways to seat  $n$  people around  $k$  non-empty, identical circular tables (rotations of a table do not count as distinct arrangements).
- Equivalently, it counts the number of permutations of  $n$  elements that decompose into exactly  $k$  disjoint cycles.

#### Examples.

- For  $n = 4$  and  $k = 2$ , the Stirling number  $\left[ \begin{smallmatrix} 4 \\ 2 \end{smallmatrix} \right] = 11$  corresponds to:

#### Cycle Type (3,1) - 8 permutations

$$\begin{aligned} (1\ 2\ 3)(4), & \quad (1\ 3\ 2)(4), & \quad (1\ 2\ 4)(3), & \quad (1\ 4\ 2)(3), \\ (1\ 3\ 4)(2), & \quad (1\ 4\ 3)(2), & \quad (2\ 3\ 4)(1), & \quad (2\ 4\ 3)(1). \end{aligned}$$

## Cycle Type (2,2) - 3 permutations

$$(1\ 2)(3\ 4), \quad (1\ 3)(2\ 4), \quad (1\ 4)(2\ 3).$$

Hence,  $\left[ \begin{smallmatrix} 4 \\ 2 \end{smallmatrix} \right] = 11$ .

- For  $n = 3$ , the permutations of  $[3]$  and their cycle decompositions:
  - $123 \leftrightarrow (1)(2)(3)$  (3 cycles)
  - $132 \leftrightarrow (1)(2\ 3)$  (2 cycles)
  - $213 \leftrightarrow (1\ 2)(3)$  (2 cycles)
  - $231 \leftrightarrow (1\ 2\ 3)$  (1 cycle)
  - $312 \leftrightarrow (1\ 3\ 2)$  (1 cycle)
  - $321 \leftrightarrow (1\ 3)(2)$  (2 cycles)

$$\left[ \begin{smallmatrix} 3 \\ 1 \end{smallmatrix} \right] = 2, \quad \left[ \begin{smallmatrix} 3 \\ 2 \end{smallmatrix} \right] = 3, \quad \left[ \begin{smallmatrix} 3 \\ 3 \end{smallmatrix} \right] = 1.$$

This counts: 2 ways to seat at 1 table, 3 ways for 2 tables, 1 way for 3 tables.

### 2.1.1 Particular Values of Unsigned Stirling Numbers of the First Kind

The unsigned Stirling numbers of the first kind,  $\left[ \begin{smallmatrix} n \\ k \end{smallmatrix} \right]$ , satisfy the following special cases:

- $\left[ \begin{smallmatrix} n \\ 0 \end{smallmatrix} \right] = 0$  for  $n \geq 1$  (no ways to partition  $n$  elements into 0 cycles), and  $\left[ \begin{smallmatrix} 0 \\ 0 \end{smallmatrix} \right] = 1$  (empty permutation).
- $\left[ \begin{smallmatrix} n \\ n \end{smallmatrix} \right] = 1$  (each element forms its own 1-cycle).
- $\left[ \begin{smallmatrix} n \\ 1 \end{smallmatrix} \right] = (n - 1)!$  (number of cyclic permutations of  $n$  elements).
- $\left[ \begin{smallmatrix} n \\ n-1 \end{smallmatrix} \right] = \binom{n}{2}$  (one 2-cycle and  $n - 2$  fixed points).

### 2.1.2 Recurrence of $\left[ \begin{smallmatrix} n \\ k \end{smallmatrix} \right]$

**Theorem 2.2** (Recurrence for Stirling Numbers of the First Kind).

For integers  $n, k \geq 1$ , the following recurrence relation holds:

$$\left[ \begin{smallmatrix} n \\ k \end{smallmatrix} \right] = \left[ \begin{smallmatrix} n-1 \\ k-1 \end{smallmatrix} \right] + (n-1) \left[ \begin{smallmatrix} n-1 \\ k \end{smallmatrix} \right]$$

with base cases  $\left[ \begin{smallmatrix} n \\ 0 \end{smallmatrix} \right] = 0$  for  $n \geq 1$ ,  $\left[ \begin{smallmatrix} 0 \\ 0 \end{smallmatrix} \right] = 1$ , and  $\left[ \begin{smallmatrix} n \\ k \end{smallmatrix} \right] = 0$  if  $k > n$ .

### 2.1.3 Triangle of Stirling Numbers of the First Kind

Using the recurrence  $\left[ \begin{smallmatrix} n \\ k \end{smallmatrix} \right] = (n-1) \left[ \begin{smallmatrix} n-1 \\ k \end{smallmatrix} \right] + \left[ \begin{smallmatrix} n-1 \\ k-1 \end{smallmatrix} \right]$ , the triangle is:

$n \backslash k$	0	1	2	3	4	5	6	7	8	9
0	1									
1	0	1								
2	0	1	1							
3	0	2	3	1						
4	0	6	11	6	1					
5	0	24	50	35	10	1				
6	0	120	274	225	85	15	1			
7	0	720	1764	1624	735	175	21	1		
8	0	5040	13068	13132	6769	1960	322	28	1	
9	0	40320	109584	118124	67284	22449	4536	546	36	1

## 2.2 Stirling Numbers of the Second Kind

**Definition 2.3** (Stirling numbers of the second kind).

For non-negative integers  $n$  and  $k$  with  $0 \leq k \leq n$ , the **Stirling number of the second kind**, denoted  $\left\{ \begin{smallmatrix} n \\ k \end{smallmatrix} \right\}$  or  $S(n, k)$ , counts:

- The number of ways to partition a set of  $n$  distinct (labelled) elements into  $k$  non-empty, indistinguishable (unlabelled) subsets.
- It also counts the number of ways to place  $n$  distinct balls into  $k$  identical non-empty boxes.

**Examples.**

- For  $n = 4$  and  $k = 2$ , the Stirling number  $\left\{ \begin{smallmatrix} 4 \\ 2 \end{smallmatrix} \right\} = 7$  corresponds to the ways to partition the set  $\{1, 2, 3, 4\}$  into 2 non-empty subsets:

**Partition Type  $\{3,1\}$  -  $\binom{4}{3} = 4$  partitions**

$$\{1, 2, 3\}, \{4\}; \quad \{1, 2, 4\}, \{3\}; \quad \{1, 3, 4\}, \{2\}; \quad \{2, 3, 4\}, \{1\}.$$

**Partition Type  $\{2,2\}$  -  $\frac{1}{2!} \binom{4}{2} = 3$  partitions**

$$\{1, 2\}, \{3, 4\}; \quad \{1, 3\}, \{2, 4\}; \quad \{1, 4\}, \{2, 3\}.$$

Hence,  $\left\{ \begin{smallmatrix} 4 \\ 2 \end{smallmatrix} \right\} = 4 + 3 = 7$ .

- For  $n = 3$ , the partitions of  $\{1, 2, 3\}$ :
  - Into 1 subset ( $k = 1$ ):  $\{1, 2, 3\}$  (1 way)
  - Into 2 subsets ( $k = 2$ ):  $\{1, 2\}, \{3\}$ ;  $\{1, 3\}, \{2\}$ ;  $\{2, 3\}, \{1\}$  (3 ways)
  - Into 3 subsets ( $k = 3$ ):  $\{1\}, \{2\}, \{3\}$  (1 way)

Thus,

$$\left\{ \begin{smallmatrix} 3 \\ 1 \end{smallmatrix} \right\} = 1, \quad \left\{ \begin{smallmatrix} 3 \\ 2 \end{smallmatrix} \right\} = 3, \quad \left\{ \begin{smallmatrix} 3 \\ 3 \end{smallmatrix} \right\} = 1.$$

### 2.2.1 Particular Values of Stirling Numbers of the Second Kind

The Stirling numbers of the second kind,  $\left\{ \begin{smallmatrix} n \\ k \end{smallmatrix} \right\}$ , satisfy the following special cases:

- $\left\{ \begin{smallmatrix} n \\ 0 \end{smallmatrix} \right\} = 0$  for  $n \geq 1$  (no ways to partition  $n$  elements into 0 non-empty subsets), and  $\left\{ \begin{smallmatrix} 0 \\ 0 \end{smallmatrix} \right\} = 1$  (empty set has one partition: into 0 subsets).
- $\left\{ \begin{smallmatrix} n \\ n \end{smallmatrix} \right\} = 1$  (each element must be in its own subset).
- $\left\{ \begin{smallmatrix} n \\ 1 \end{smallmatrix} \right\} = 1$  (all elements must be in the single subset).
- $\left\{ \begin{smallmatrix} n \\ n-1 \end{smallmatrix} \right\} = \binom{n}{2}$  (one subset must contain exactly two elements, the rest are singletons; choose the pair in  $\binom{n}{2}$  ways).
- $\left\{ \begin{smallmatrix} n \\ 2 \end{smallmatrix} \right\} = 2^{n-1} - 1$  (Each element goes into set A or set B,  $2^n$  ways. Exclude cases where A or B is empty (2 ways). Since sets A, B are indistinguishable, divide by 2:  $(2^n - 2)/2 = 2^{n-1} - 1$ ).

### 2.2.2 Recurrence of $\left\{ \begin{smallmatrix} n \\ k \end{smallmatrix} \right\}$

**Theorem 2.4** (Recurrence for Stirling Numbers of the Second Kind).

For integers  $n, k \geq 1$ , the following recurrence relation holds:

$$\left\{ \begin{smallmatrix} n \\ k \end{smallmatrix} \right\} = \left\{ \begin{smallmatrix} n-1 \\ k-1 \end{smallmatrix} \right\} + k \left\{ \begin{smallmatrix} n-1 \\ k \end{smallmatrix} \right\}$$

with base cases  $\left\{ \begin{smallmatrix} n \\ 0 \end{smallmatrix} \right\} = 0$  for  $n \geq 1$ ,  $\left\{ \begin{smallmatrix} 0 \\ 0 \end{smallmatrix} \right\} = 1$ , and  $\left\{ \begin{smallmatrix} n \\ k \end{smallmatrix} \right\} = 0$  if  $k > n$ .

### 2.2.3 Triangle of Stirling Numbers of the Second Kind

Using the recurrence  $\left\{ \begin{matrix} n \\ k \end{matrix} \right\} = k \left\{ \begin{matrix} n-1 \\ k \end{matrix} \right\} + \left\{ \begin{matrix} n-1 \\ k-1 \end{matrix} \right\}$ , the triangle is:

$n \backslash k$	0	1	2	3	4	5	6	7	8
0	1								
1	0	1							
2	0	1	1						
3	0	1	3	1					
4	0	1	7	6	1				
5	0	1	15	25	10	1			
6	0	1	31	90	65	15	1		
7	0	1	63	301	350	140	21	1	
8	0	1	127	966	1701	1050	266	28	1

## 2.3 Lah Numbers (Stirling Numbers of the Third Kind)

**Definition 2.5** (Lah Numbers).

For non-negative integers  $n$  and  $k$  with  $0 \leq k \leq n$ , the **Lah number**, denoted  $\left[ \begin{matrix} n \\ k \end{matrix} \right]$ , counts:

- The number of ways to partition  $n$  distinct (labeled) elements into  $k$  non-empty, indistinguishable (unlabeled) linearly ordered subsets (i.e., sequences where the order within each subset matters).
- Equivalently, it counts the number of ways to arrange  $n$  elements into  $k$  non-empty lists where the order of elements within each list matters, but the order of the lists themselves does not.

**Examples.**

- For  $n = 3$  and  $k = 2$ , the Lah number  $\left[ \begin{matrix} 3 \\ 2 \end{matrix} \right] = 6$  corresponds to the ways to partition  $\{1, 2, 3\}$  into 2 ordered lists:
 
$$\{[1], [2, 3]\}, \{[1], [3, 2]\}, \{[2], [1, 3]\}, \{[2], [3, 1]\}, \{[3], [1, 2]\}, \{[3], [2, 1]\}.$$
- For  $n = 4$  and  $k = 2$ , the Lah number  $\left[ \begin{matrix} 4 \\ 2 \end{matrix} \right] = 36$ . This counts all partitions into two ordered lists, such as  $[1, 2, 3], [4]$  or  $[1, 4], [2, 3]$ , where internal order matters in each list.
- For  $n = 2$ , the partitions of  $\{1, 2\}$ :
  - Into 1 subset ( $k = 1$ ):  $[1, 2]$  or  $[2, 1]$  (2 ways, but indistinguishable as a single list). However, since the list order matters,  $\left[ \begin{matrix} 2 \\ 1 \end{matrix} \right] = 2$ .
  - Into 2 subsets ( $k = 2$ ):  $\{[1], [2]\}$  (1 way, as singleton order is fixed).

Thus,

$$\left[ \begin{matrix} 2 \\ 1 \end{matrix} \right] = 2, \quad \left[ \begin{matrix} 2 \\ 2 \end{matrix} \right] = 1.$$

### 2.3.1 Particular Values of Lah Numbers

The Lah numbers  $\left[ \begin{matrix} n \\ k \end{matrix} \right]$  satisfy the following special cases:

- $\left[ \begin{matrix} n \\ 0 \end{matrix} \right] = 0$  for  $n \geq 1$ , and  $\left[ \begin{matrix} 0 \\ 0 \end{matrix} \right] = 1$  (analogous to Stirling numbers).
- $\left[ \begin{matrix} n \\ n \end{matrix} \right] = 1$  (each element forms its own singleton list; order is irrelevant).
- $\left[ \begin{matrix} n \\ 1 \end{matrix} \right] = n!$  (all elements form a single ordered list, equivalent to permutations of  $n$  elements).
- $\left[ \begin{matrix} n \\ n-1 \end{matrix} \right] = 2 \binom{n}{2}$  (one list contains two elements in order, and the remaining  $n - 2$  are singletons; choose the pair in  $\binom{n}{2}$  ways and order them in 2 ways).
- $\left[ \begin{matrix} n \\ k \end{matrix} \right] = 0$  if  $k > n$  or  $k < 0$ .

### 2.3.2 Recurrence of $\left[ \begin{smallmatrix} n \\ k \end{smallmatrix} \right]$

**Theorem 2.6** (Recurrence for Lah Numbers).

For integers  $n, k \geq 1$ , the following recurrence relation holds:

$$\left[ \begin{smallmatrix} n \\ k \end{smallmatrix} \right] = \left[ \begin{smallmatrix} n-1 \\ k-1 \end{smallmatrix} \right] + (n+k-1) \left[ \begin{smallmatrix} n-1 \\ k \end{smallmatrix} \right]$$

with base cases  $\left[ \begin{smallmatrix} n \\ 0 \end{smallmatrix} \right] = 0$  for  $n \geq 1$ ,  $\left[ \begin{smallmatrix} 0 \\ 0 \end{smallmatrix} \right] = 1$ , and  $\left[ \begin{smallmatrix} n \\ k \end{smallmatrix} \right] = 0$  if  $k > n$ .

### 2.3.3 Triangle of Lah Numbers

Using the recurrence  $\left[ \begin{smallmatrix} n \\ k \end{smallmatrix} \right] = (n+k-1) \left[ \begin{smallmatrix} n-1 \\ k \end{smallmatrix} \right] + \left[ \begin{smallmatrix} n-1 \\ k-1 \end{smallmatrix} \right]$ , the triangle is:

$n \backslash k$	0	1	2	3	4	5	6	7	8	9
0	1									
1	0	1								
2	0	2	1							
3	0	6	6	1						
4	0	24	36	12	1					
5	0	120	240	120	20	1				
6	0	720	1800	1200	300	30	1			
7	0	5040	15120	12600	4200	630	42	1		
8	0	40320	141120	141120	58800	11760	1176	56	1	
9	0	362880	1451520	1693440	846720	211680	28224	2016	72	1

**Theorem 2.7.**

The Lah numbers, denoted  $\left[ \begin{smallmatrix} n \\ k \end{smallmatrix} \right]$ , which count the number of ways to partition a set of  $n$  elements into  $k$  non-empty ordered lists, satisfy the identity:

$$\left[ \begin{smallmatrix} n \\ k \end{smallmatrix} \right] = \frac{n!}{k!} \binom{n-1}{k-1}.$$

## 2.4 Stirling Numbers, Lah Numbers, and Factorial Functions

**Theorem 2.8** (Factorial Expansions).

For non-negative integers  $n, k$  ( $0 \leq k \leq n$ ) and a real or complex variable  $x$ :

$$(1) \quad x^{(n)} = \sum_{k=0}^n \left[ \begin{smallmatrix} n \\ k \end{smallmatrix} \right] x^k \quad (\text{Stirling numbers of the first kind}),$$

$$(2) \quad x^n = \sum_{k=0}^n \left\{ \begin{smallmatrix} n \\ k \end{smallmatrix} \right\} (x)_k \quad (\text{Stirling numbers of the second kind}),$$

$$(3) \quad x^{(n)} = \sum_{k=0}^n \left[ \begin{smallmatrix} n \\ k \end{smallmatrix} \right] (x)_k \quad (\text{Lah numbers}),$$

where:

- Falling factorial:  $(x)_n = x(x-1)(x-2) \cdots (x-n+1)$
- Rising factorial:  $x^{(n)} = x(x+1)(x+2) \cdots (x+n-1)$

## Examples.

### Examples for $n = 2$ :

- **First Kind Identity:**

$$x^{(2)} = x(x+1) = \begin{bmatrix} 2 \\ 1 \end{bmatrix} x + \begin{bmatrix} 2 \\ 2 \end{bmatrix} x^2 = 1 \cdot x + 1 \cdot x^2 = x + x^2$$

Verified:  $x(x+1) = x^2 + x$ .

- **Second Kind Identity:**

$$x^2 = \begin{Bmatrix} 2 \\ 1 \end{Bmatrix} (x)_1 + \begin{Bmatrix} 2 \\ 2 \end{Bmatrix} (x)_2 = 1 \cdot x + 1 \cdot x(x-1) = x + x^2 - x = x^2$$

Verified:  $x^2 = x^2$ .

- **Lah Numbers Identity:**

$$x^{(2)} = \begin{bmatrix} 2 \\ 1 \end{bmatrix} (x)_1 + \begin{bmatrix} 2 \\ 2 \end{bmatrix} (x)_2 = 2x + 1 \cdot x(x-1) = 2x + x^2 - x = x^2 + x$$

Verified:  $x(x+1) = x^2 + x$ .

### Examples for $n = 3$ :

- **First Kind Identity:**

$$x^{(3)} = x(x+1)(x+2) = \begin{bmatrix} 3 \\ 1 \end{bmatrix} x + \begin{bmatrix} 3 \\ 2 \end{bmatrix} x^2 + \begin{bmatrix} 3 \\ 3 \end{bmatrix} x^3 = 2x + 3x^2 + 1x^3 = x^3 + 3x^2 + 2x$$

Verified:  $x(x+1)(x+2) = x^3 + 3x^2 + 2x$ .

- **Second Kind Identity:**

$$x^3 = \begin{Bmatrix} 3 \\ 1 \end{Bmatrix} (x)_1 + \begin{Bmatrix} 3 \\ 2 \end{Bmatrix} (x)_2 + \begin{Bmatrix} 3 \\ 3 \end{Bmatrix} (x)_3 = 1 \cdot x + 3 \cdot x(x-1) + 1 \cdot x(x-1)(x-2)$$

Expand:

$$x + 3(x^2 - x) + (x^3 - 3x^2 + 2x) = x + 3x^2 - 3x + x^3 - 3x^2 + 2x = x^3$$

Verified:  $x^3 = x^3$ .

- **Lah Numbers Identity:**

$$x^{(3)} = \begin{bmatrix} 3 \\ 1 \end{bmatrix} (x)_1 + \begin{bmatrix} 3 \\ 2 \end{bmatrix} (x)_2 + \begin{bmatrix} 3 \\ 3 \end{bmatrix} (x)_3 = 6x + 6 \cdot x(x-1) + 1 \cdot x(x-1)(x-2)$$

Expand:

$$6x + 6(x^2 - x) + (x^3 - 3x^2 + 2x) = 6x + 6x^2 - 6x + x^3 - 3x^2 + 2x = x^3 + 3x^2 + 2x$$

Verified:  $x(x+1)(x+2) = x^3 + 3x^2 + 2x$ .

Let us denote the Stirling and Lah numbers as follows:

$$s(n, k) := (-1)^{n-k} \begin{bmatrix} n \\ k \end{bmatrix} \quad (\text{signed Stirling numbers of the first kind}),$$

$$L(n, k) := (-1)^{n-k} \begin{bmatrix} n \\ k \end{bmatrix} \quad (\text{signed Lah numbers}),$$

$$S(n, k) := \begin{Bmatrix} n \\ k \end{Bmatrix} \quad (\text{Stirling numbers of the second kind}).$$

## Exercise.

Show the following identities:

$$(a) \quad x^n = \sum_{k=0}^n (-1)^{n-k} S(n, k) x^{(k)},$$

$$(b) \quad (x)_n = \sum_{k=0}^n s(n, k) x^k,$$

$$(c) \quad (x)_n = \sum_{k=0}^n L(n, k) x^{(k)},$$

where  $(x)_n = x(x-1)\cdots(x-n+1)$  is the falling factorial and  $x^{(k)} = x(x+1)\cdots(x+k-1)$  is the rising factorial.

## 2.5 Lah Numbers and Stirling Number Identities

**Theorem 2.9** (Lah Numbers as a Convolution of Stirling Numbers).

For integers  $n \geq k \geq 0$ , the Lah numbers satisfy:

$$\left[ \begin{matrix} n \\ k \end{matrix} \right] = \sum_{j=k}^n \left[ \begin{matrix} n \\ j \end{matrix} \right] \left\{ \begin{matrix} j \\ k \end{matrix} \right\}.$$

## 2.6 Stirling Number Identities

**Theorem 2.10** (Orthogonality of Stirling Numbers).

For integers  $n \geq m \geq 0$ , the unsigned Stirling numbers of the first kind  $\left[ \begin{matrix} n \\ j \end{matrix} \right]$  and the Stirling numbers of the second kind  $\left\{ \begin{matrix} j \\ m \end{matrix} \right\}$  satisfy:

$$\sum_{j=m}^n \left[ \begin{matrix} n \\ j \end{matrix} \right] \left\{ \begin{matrix} j \\ m \end{matrix} \right\} (-1)^{j-m} = \delta_{nm},$$

$$\sum_{j=m}^n \left\{ \begin{matrix} n \\ j \end{matrix} \right\} \left[ \begin{matrix} j \\ m \end{matrix} \right] (-1)^{j-m} = \delta_{nm},$$

where  $\delta_{nm}$  is the Kronecker delta (1 if  $n = m$ , 0 otherwise).

**Exercise.**

Prove Theorem 2.10.

**Theorem 2.11** (Stirling Number Inversion).

Let  $\{a_n\}$  and  $\{b_n\}$  be sequences of real numbers.

- (i)  $a_n = \sum_{k=0}^n \left\{ \begin{matrix} n \\ k \end{matrix} \right\} b_k \Leftrightarrow b_n = \sum_{k=0}^n (-1)^{n-k} \left[ \begin{matrix} n \\ k \end{matrix} \right] a_k.$
- (ii)  $b_n = \sum_{k=0}^n \left[ \begin{matrix} n \\ k \end{matrix} \right] a_k \Leftrightarrow a_n = \sum_{k=0}^n (-1)^{n-k} \left\{ \begin{matrix} n \\ k \end{matrix} \right\} b_k.$

**Exercise.**

Prove Theorem 2.11.

**Theorem 2.12** (Binomial Convolutions for Stirling Numbers).

The Stirling numbers of the first and second kinds satisfy the following convolution identities:

- (i) **Horizontal convolution (First Kind):** For integers  $n \geq 0$  and  $k \geq 0$ ,

$$\left[ \begin{matrix} n+1 \\ k+1 \end{matrix} \right] = \sum_{j=0}^n \left[ \begin{matrix} n \\ j \end{matrix} \right] \binom{j}{k}.$$

- (ii) **Vertical convolution (Second Kind):** For integers  $n \geq 0$  and  $k \geq 0$ ,

$$\left\{ \begin{matrix} n+1 \\ k+1 \end{matrix} \right\} = \sum_{j=0}^n \binom{n}{j} \left\{ \begin{matrix} j \\ k \end{matrix} \right\}.$$

**Exercise.**

Prove Theorem 2.12.

## 2.7 Generating Functions of Stirling numbers

**Theorem 2.13** (Bivariate Generating Functions for Stirling Numbers).

For complex numbers  $t$  and  $u$ :

(i) **Unsigned Stirling numbers of the first kind:**

$$\sum_{n=0}^{\infty} \sum_{k=0}^n \begin{bmatrix} n \\ k \end{bmatrix} \frac{t^k u^n}{n!} = (1 - u)^{-t}.$$

(ii) **Signed Stirling numbers of the first kind:**

$$\sum_{n=0}^{\infty} \sum_{k=0}^n s(n, k) \frac{t^k u^n}{n!} = (1 + u)^t.$$

(iii) **Stirling numbers of the second kind:**

$$\sum_{n=0}^{\infty} \sum_{k=0}^n \begin{Bmatrix} n \\ k \end{Bmatrix} \frac{t^k u^n}{n!} = e^{ut}.$$

**Theorem 2.14** (Closed Formula for Stirling Numbers of the Second Kind).

The Stirling number  $\begin{Bmatrix} n \\ k \end{Bmatrix}$  is given by:

$$\begin{Bmatrix} n \\ k \end{Bmatrix} = \frac{1}{k!} \sum_{i=0}^k (-1)^{k-i} \binom{k}{i} i^n.$$

## 2.8 Exponential Generating Functions for Fixed $k$

**Theorem 2.15** (EGFs for Stirling Numbers).

For integers  $k \geq 0$  and complex  $u$ :

(i) **Unsigned Stirling numbers of the first kind** ( $\begin{bmatrix} n \\ k \end{bmatrix}$ ):

$$\sum_{n=k}^{\infty} \begin{bmatrix} n \\ k \end{bmatrix} \frac{u^n}{n!} = \frac{(-\ln(1 - u))^k}{k!}.$$

(ii) **Signed Stirling numbers of the first kind** ( $s(n, k) = (-1)^{n-k} \begin{bmatrix} n \\ k \end{bmatrix}$ ):

$$\sum_{n=k}^{\infty} s(n, k) \frac{u^n}{n!} = \frac{(\ln(1 + u))^k}{k!}.$$

(iii) **Stirling numbers of the second kind** ( $\begin{Bmatrix} n \\ k \end{Bmatrix}$ ):

$$\sum_{n=k}^{\infty} \begin{Bmatrix} n \\ k \end{Bmatrix} \frac{u^n}{n!} = \frac{(e^u - 1)^k}{k!}.$$

## 3. Bell Numbers

**Definition 3.1.**

The Bell numbers count the number of possible partitions of a set of  $n$  elements into non-empty subsets, denoted  $B_n$ .

**Proposition 3.2.**

The  $n$ -th Bell number is given by

$$B_n = \sum_{k=0}^n \begin{Bmatrix} n \\ k \end{Bmatrix},$$

where  $\begin{Bmatrix} n \\ k \end{Bmatrix}$  denotes the Stirling number of the second kind.

**Example.**

For  $n = 3$ , consider the set  $\{a, b, c\}$ . The Bell number  $B_3$  counts these 5 partitions:

1. One subset:  $\{\{a, b, c\}\}$
2. Two subsets:
  - $\{\{a\}, \{b, c\}\}$
  - $\{\{b\}, \{a, c\}\}$
  - $\{\{c\}, \{a, b\}\}$
3. Three subsets:  $\{\{a\}, \{b\}, \{c\}\}$

Thus,  $B_3 = 5$ . Using the formula:

$$B_3 = \sum_{k=0}^3 \binom{3}{k} = \binom{3}{1} + \binom{3}{2} + \binom{3}{3} = 1 + 3 + 1 = 5.$$

**Theorem 3.3** (Binomial Convolution for Bell Numbers).

The Bell numbers satisfy:

$$B_{n+1} = \sum_{k=0}^n \binom{n}{k} B_k$$

**Theorem 3.4** (Exponential Generating Function for Bell Numbers).

The Bell numbers satisfy:

$$\sum_{n=0}^{\infty} B_n \frac{x^n}{n!} = e^{e^x - 1}.$$

**Theorem 3.5** (Dobiński's Formula).

For the  $n$ -th Bell number  $B_n$ ,

$$B_n = \frac{1}{e} \sum_{k=0}^{\infty} \frac{k^n}{k!}.$$

## 4. Symmetric polynomial and Stirling numbers

**Theorem 4.1.**

For integers  $n \geq k \geq 1$ , the following identities hold:

1. **Unsigned Stirling Numbers of the First Kind:**

$$\left[ \begin{matrix} n \\ n-k \end{matrix} \right] = \sum_{0 \leq i_1 < i_2 < \dots < i_k < n} i_1 i_2 \dots i_k,$$

where the sum runs over all strictly increasing sequences  $i_1, i_2, \dots, i_k$  in  $\{0, 1, \dots, n-1\}$ .

2. **Stirling Numbers of the Second Kind:**

$$\left\{ \begin{matrix} n \\ k \end{matrix} \right\} = \frac{1}{k!} \sum_{\substack{i_1 + i_2 + \dots + i_k = n \\ i_j \geq 1}} \frac{n!}{i_1! i_2! \dots i_k!},$$

where the sum is over all compositions of  $n$  into  $k$  positive integers  $i_j$ .

3. Additionally, the **Unsigned Stirling Numbers of the First Kind** satisfy:

$$\left[ \begin{matrix} n+1 \\ k+1 \end{matrix} \right] = n! \sum_{1 \leq i_1 < i_2 < \dots < i_k \leq n} \frac{1}{i_1 i_2 \dots i_k}$$

**Example.**

1. For  $n = 4, k = 2$ :

$$\begin{bmatrix} 4 \\ 2 \end{bmatrix} = \sum_{0 \leq i_1 < i_2 < 4} i_1 i_2 = 0 \cdot 1 + 0 \cdot 2 + 0 \cdot 3 + 1 \cdot 2 + 1 \cdot 3 + 2 \cdot 3 = 11.$$

2. For  $n = 4, k = 2$ :

$$\begin{Bmatrix} 4 \\ 2 \end{Bmatrix} = \frac{1}{2!} \sum_{\substack{i_1+i_2=4 \\ i_j \geq 1}} \frac{24}{i_1! i_2!} = \frac{1}{2} \left( \frac{24}{1!3!} + \frac{24}{2!2!} + \frac{24}{3!1!} \right) = \frac{1}{2}(4 + 6 + 4) = 7.$$

3. For  $n = 4, k = 2$ :

$$\begin{bmatrix} 5 \\ 3 \end{bmatrix} = 4! \sum_{1 \leq i_1 < i_2 \leq 4} \frac{1}{i_1 i_2} = 24 \left( \frac{1}{1 \cdot 2} + \frac{1}{1 \cdot 3} + \frac{1}{1 \cdot 4} + \frac{1}{2 \cdot 3} + \frac{1}{2 \cdot 4} + \frac{1}{3 \cdot 4} \right).$$

Sum:  $\frac{35}{24}$ . Multiply:  $24 \cdot \frac{35}{24} = 35$ .